



4 Century Hill Drive, Latham, NY 12110 / (518) 782-0209 / (800) 688-1045 / www.capcomfinancial.com

Confidential Personal and Financial Profile

Please complete this profile as completely as possible and return it to us prior to your appointment. Copies of financial statements should be included as well. The information you provide will help make your appointment more productive.

How did you hear about us?

| Personal Information | |
|----------------------|--|
| Name | |
| CCFCU Account # | |
| Social Security # | |
| Date of Birth | |
| Address | |
| City, State, Zip | |
| Home Phone # | |
| Cell Phone # | |
| Email Address | |
| Marital Status | |

| Spouse or Partner Information | |
|-------------------------------|--|
| Spouse's Name | |
| CCFCU account # | |
| Social Security # | |
| Spouse's Date of Birth | |
| Spouse's Employer | |
| Job Title | |
| Business Address | |
| City, State, Zip | |
| Work Phone # | |
| Annual Income | |

| Employment Information | |
|------------------------|--|
| Employer | |
| Job Title | |
| Business Address | |
| City, State, Zip | |
| Work Phone # | |
| Annual Income | |

| Dependents | |
|------------------|--|
| Childrens Names | |
| And Ages | |
| CCFCU Account #s | |
| | |
| | |

| Financial Information | |
|---|--|
| Number of Years Investment Experience in: | |
| Stocks | |
| Bonds | |
| Mutual Funds | |
| Options | |
| Margin | |
| Partnerships | |
| Annuities | |
| Other (please explain) | |

| Which items would you like help with? (Rank from 1-5) | |
|---|--|
| | Investment Advice |
| | Financial Security at Retirement |
| | Reducing Taxes |
| | College Savings |
| | 401(k)/IRA Rollover |
| | Life Insurance |
| | Plan for long term or nursing home care |
| | Create income from my investments |
| | Provide for my family in event of my death |

